

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)
DIVISION OF REAL ESTATE (DRE)**

AUCTION ADVISORY BOARD

OPEN MINUTES

Date: June 28, 2022

Call to Order: 11:01 a.m. – Thomas Walsh - Chairperson

Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)

Location: This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.

Board Members Present: Michael Fine, Gwendolene Newton, Brian A. Swartz, and Thomas Walsh

Staff Members Not Present: Jessica Doerr-Berger, Kelly Frank, and Carol Spracklen

Staff Members Present: Laurie Murphy – Division of Real Estate - Director, Ericka Johnson – Division of Real Estate - Deputy Director, Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p>Call to Order</p>	<p>Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. <i>This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.</i></p> <p style="text-align: center;">Attendance Taken: Michael Fine - present Kelly T. Frank - present Gwendolene Newton - present Brian Swartz - present Thomas Walsh - present</p> <p>The Director introduced the recently appointed Auction Board member Michael Fine to the Board and Division of Real Estate staff. The Board and the staff introduced themselves and welcomed Mr. Fine.</p>	<p>The meeting was called to order at 11:01 a.m.</p>
<p>Review and Approval of Open Minutes:</p>	<p>The Board reviewed the Open Minutes from the April 26, 2022, Auction Advisory Board meeting.</p>	<p>A motion was made by Swartz and seconded by Newton to approve the</p>

	<p style="text-align: center;">Roll Call Vote Taken: Michael Fine - yes Gwendolene Newton – yes Brian Swartz - yes Thomas Walsh - yes</p>	<p>Open Minutes as presented from the April 26, 2022, Auction Advisory Board meeting. The motion carried by roll call vote.</p>
Public Comments	<p>There were no public comments.</p>	
Licensing and Education Report	<p>The 2022 Licensing Report for activity conducted in May was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed explained the Division of Real Estate has ended the real estate broker renewal and we are getting ready for the Auction renewal at the end of this year. Mr. Reed explained this will be a new renewal process through the online licensing portal which the auctioneers have not accessed yet. Mr. Reed said email notifications will be sent to auction licensees 90 days prior to the renewal with instructions.</p>	
Investigations Report	<p>The 2022 Auction Investigations Report through the month of May was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Hector Rodriguez, the Chief of Investigations, explained his unit is responsible for investigating complaints for all of the Real Estate Division which includes, Real Estate, Auction, Home Inspection, Appraisal, and Community Association Managers. Mr. Rodriguez explained Appraisal complaints are unique in that they are also reviewed by the Federal Government.</p>	

Prosecutions Report	The 2022 Auction Prosecutions Report through the month of May was presented and distributed. A copy of the report is attached to and made part of these minutes. Geetu Naik, the Chief of Prosecutions, explained the current number of cases in Prosecutions at this time.	
Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	Jeremy Reed thanked the Board for their assistance reviewing three auction continuing education courses that were submitted previously to the Division of Real Estate. Mr. Reed informed the Board that we have deferred these three courses per the Board's recommendation.	
New Business:	There was no new business to discuss.	
Motion to go into Closed Session	<p style="text-align: center;">Roll Call Vote taken: Michael Fine – yes Gwendolene Newton – yes Brian Swartz – yes Thomas Walsh - yes</p>	A motion was made by Swartz seconded by Fine to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:29 a.m. The motion carried by roll call vote.
Closed Session	<p>The Board reviewed the April 26, 2022, Closed Minutes.</p> <p>There were no pending enforcement actions for the Board to review.</p>	
Motion to go into Open Session	<p style="text-align: center;">Roll Call Vote taken: Michael Fine – yes Gwendolene Newton – yes</p>	A motion was made by Swartz and seconded by Newton to go into Open

<p>Approval of April 26, 2022, Closed Minutes</p> <p>Recommendations</p> <p>The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director</p> <p>Orders</p>	<p>Brian Swartz – yes Thomas Walsh - yes</p> <p>Roll Call Vote taken: Michael Fine – yes Gwendolene Newton – yes Brian Swartz – yes Thomas Walsh - yes</p> <p>There were no cases for the Board to deliberate during Closed Session.</p> <p>There were no Consent Orders for the Board to discuss in Closed Session.</p>	<p>Session at 11:30 a.m. The motion carried by roll call vote.</p> <p>A motion was made by Swartz and seconded by Fine to approve the Auction Advisory Board Closed Minutes from the April 26, 2022, minutes. The motion carried by roll call vote.</p>
<p>Adjournment</p>	<p>Roll Call Vote taken: Michael Fine – yes Gwendolene Newton – yes Brian Swartz – yes Thomas Walsh - yes</p> <p>The next meeting is scheduled for August 23, 2022.</p>	<p>There being no further business to discuss, a motion was made by Fine and seconded by Newton to adjourn at 11:31 p.m. The motion carried by roll call vote.</p>

Licensing Report

YTD - thru June 15th, 2022

Prepared by Jeremy N Reed

Filtered By

- Board = AUCTIONEER
- START DATE = 01/01/2022
- END DATE = 06/15/2022

6/21/2022 at 12:12:15 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	1	1	0	0	286
441	Licensed Auctioneer	2	27	28	0	4	743
444	Licensed Auction Firm	1	10	11	0	1	183
445	Licensed Auction CE School	0	0	0	0	1	5
446	Licensed Auction CE Course	0	4	5	0	10	46
Totals		3	42	45	0	16	1,263

From: 5/1/2022 To: 5/31/2022

		Pass		Fail		Total
		N	%	N	%	N
IL Auctioneer Examination	First Time	2	66.67	1	33.33	3
	Repeat	0	0.00	0	0.00	0
	Total	4	57.14	2	28.57	7

AUCTION PROSECUTIONS REPORT 2022

May

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non-Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	2	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
APRIL	2	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	3	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		1	2							2	1	0	0	0	1	0	0	0	0