

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)
DIVISION OF REAL ESTATE (DRE)**

AUCTION ADVISORY BOARD

OPEN MINUTES

Date: December 15, 2020

Call to Order: 11:01 a.m. – Jessica Doerr-Berger - Acting Chairperson

Location: Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)
Remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker’s Executive Order 2020-07 issued on March 16, 2020.

Board Members Present Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Carol Spracklen, Brian A. Swartz

Board Member Not Present Thomas E. Walsh

Staff Members Present Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Ericka Johnson – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Donielle Walters – Policy Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p>Call to Order</p>	<p>Acting Chairperson, Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act.</p> <p><i>This meeting is being conducted by audio or video conference without the physical presence or a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration.</i></p> <p>Jessica Doerr-Berger explained the Board must take a roll call vote for each motion made during the meeting.</p>	<p>The meeting was called to order at 11:01 a.m.</p>
<p>Review and Approval of Open Minutes:</p>	<p>The Board reviewed the Open Minutes from the October 27, 2020 Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen - yes Brian A. Swartz – yes</p>	<p>A motion was made by Swartz and seconded by Frank to approve the Open Minutes as presented from the October 27, 2020 Auction Advisory Board meeting. The motion carried by roll call vote.</p>
<p>Public Comments</p>	<p>There were no public comments.</p>	
<p>Licensing and Education Report</p>	<p>The 2020 Licensing Report for activity conducted in November was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p>	

	<p>Jeremy Reed wished the Board a happy holiday. Mr. Reed explained his staff continues working remotely from their homes due to the COVID – 19 Pandemic. Mr. Reed informed the Board that his staff has been productive working from home.</p> <p>Mr. Reed informed the Board we are amid the auction renewal at this time.</p> <p>Mr. Reed explained that the exam pass rate is 74%.</p>	
Investigations Report	<p>The 2020 Auction Investigations Report through the month of November was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Hector Rodriguez informed the Board his staff is also continuing to work mostly remotely from home. Mr. Rodriguez wished the Board a happy holiday.</p>	
Prosecutions Report	<p>The 2020 Auction Prosecutions Report through the month of November was presented and distributed. A copy of the report is attached to and made part of these minutes.</p> <p>Ericka Johnson explained things are going well in Prosecutions. Ms. Johnson mentioned there are only eight open cases in Auction at this time.</p>	
Formal Hearing Schedule	<p>There were no formal hearings scheduled.</p>	
Old Business	<p>There was no old business to discuss.</p>	
New Business:	<p>Susan Sigourney addressed the Ethics Training that is mandatory for the Board members to complete.</p>	

<p>and Recommendations to the Director</p> <p>Orders</p>	<p>2 Consent Orders were reviewed and discussed in Closed Session.</p>	<p>The Auction Advisory Board recommends that the Auctioneer license of Gavin D. Pope, license number 441.000675, shall be Indefinitely Suspended for a minimum period of six (6) months or until such a time as the fine recommended herein is paid, whichever is later, and Respondent shall pay a fine of \$5,000. Respondent is placed on notice that, should he violate any provision of the Auction License Act while suspended, the Department may initiate additional disciplinary action and/or use such information in objection to any Petition for Restoration.</p> <p>The Board signed 2 Consent Orders.</p>
	<p>The next meeting scheduled for February 23, 2021 may be held remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker's Executive Order 2020-07 issued on March 16, 2020.</p>	<p>The next Auction Advisory Board meeting is scheduled for February 23, 2021.</p>
<p>Adjournment</p>	<p>Roll Call Vote taken: Jessica Doerr-Berger- yes Kelly T. Frank -yes Gwendolene Newton – yes Carol Spracklen - yes Brian A. Swartz- yes</p>	<p>There being no further business to discuss, a motion was made by Spracklen and seconded by Frank to adjourn at 12:14 a.m. The motion carried by roll call vote.</p>

Licensing Report

Prepared by Jeremy N Reed

YTD - thru November 30, 2020

Filtered By

- Board = AUCTIONEER
- START DATE = 01/01/2020
- END DATE = 11/30/2020

12/7/2020 at 9:46:01 AM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	0	0	0	43	336
441	Licensed Auctioneer	6	35	42	0	148	828
444	Licensed Auction Firm	2	14	10	0	56	182
445	Licensed Auction CE School	0	0	0	0	4	5
446	Licensed Auction CE Course	0	12	20	0	24	44
Totals		8	61	72	0	275	1,395

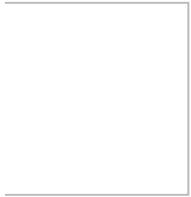
Illinois Auctioneer Examination

	ALL EXAMINEES		REPEATERS		FIRST TIMERS	
TOTAL NUMBER TESTED	43		13		30	
TOTAL NUMBER PASSED	32	74.42 %	10	76.92 %	22	73.33 %
TOTAL NUMBER FAILED	11	25.58 %	3	23.08 %	8	26.67 %

**AUCTION INVESTIGATIONS REPORT
DECEMBER 2020**

	Pending Auction Cases	CASES OVER 5 MONTHS OLD	New Auction Cases Received	Referred to Prosecutions	Recommended for Closure	Closed at Complaint
JANAURY	25	19	1	3	0	0
FEBRUARY	24	20	1	2	0	0
MARCH	25	20	3	0	2	0
APRIL	24	22	0	1	0	1
MAY	28	22	4	0	0	0
JUNE	29	24	2	0	1	0
JULY	30	25	2	1	0	0
AUGUST	30	25	1	0	1	0
SEPTEMBER	31	25	3	1	1	1
OCTOBER	25	21	0	3	3	0
NOVEMBER	28	21	3	0	0	0
DECEMBER						
TOTAL						

**AUCTION INVESTIGATIONS REPORT
DECEMBER 2020**



AUCTION PROSECUTIONS REPORT 2020

November

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non-Disc Order	IL Inc Tax	Child Support
JANUARY	38	1	4	6	9	2	0	20	1	13	4	1	0	0	4	4	0	0
FEBRUARY	26	7	2	4	8	3	0	11	0	14	9	3	0	1	0	1	0	0
MARCH	20	1	0	1	3	8	0	8	0	6	0	4	0	0	0	2	0	0
APRIL	20	0	1	1	4	7	1	7	0	1	1	0	0	0	0	0	0	0
MAY	13	0	0	1	4	0	1	7	0	7	0	0	0	5	0	2	0	0
JUNE	12	3	0	0	1	3	1	7	0	1	1	0	0	0	0	0	0	0
JULY	12	0	1	1	1	3	1	6	0	1	1	0	0	0	0	0	0	0
AUGUST	13	0	1	2	0	4	0	7	0	0	0	0	0	0	0	0	0	0
SEPTEMBER	11	0	1	2	0	3	0	6	0	3	1	0	0	0	2	0	0	0
OCTOBER	7	0	1	2	1	1	1	0	2	5	0	0	0	5	0	0	0	0
NOVEMBER	8	0	3	4	1	0	2	0	1	2	0	2	0	0	0	0	0	0
DECEMBER										0								
TOTAL		12	14							53	17	10	0	11	6	9	0	0