



# IDFPR

## Illinois Department of Financial and Professional Regulation

Division of Real Estate

[idfpr.illinois.gov](http://idfpr.illinois.gov)

**JB PRITZKER**  
Governor

**MARIO TRETO, JR.**  
Secretary

**LAURIE MURPHY**  
Director

### ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR) DIVISION OF REAL ESTATE (DRE)

#### AUCTION ADVISORY BOARD

#### OPEN MINUTES

Date: December 20, 2022

Call to Order: 11:03 a.m. – Jessica Doerr-Berger

Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)

Location: This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.

Board Members Present: Jessica Doerr-Berger, Kelly Frank, Michael Fine, and Carol Spracklen

Board Members Not Present: Gwendolene Newton and Thomas Walsh

Staff Members Present: Laurie Murphy – Director of the Division of Real Estate, Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Jennifer Rossiter Moreno – Division of Real Estate Operations Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p><b>Call to Order</b></p>	<p>Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act.</p> <p><i>This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.</i></p> <p>Attendance Taken:  Jessica Doerr-Berger – present  Michael Fine – present  Kelly Frank – present  Carol Spracklen - present</p>	<p>The meeting was called to order at 11:03 a.m.</p>
<p><b>Review and Approval of Open Minutes:</b></p>	<p>The Board reviewed the Open Minutes from the October 25, 2022, Auction Advisory Board meeting.</p> <p>Roll Call Vote Taken:  Jessica Doerr-Berger - yes  Michael Fine – abstain  Kelly Frank - yes  Carol Spracklen - yes</p>	<p>A motion was made by Spracklen and seconded by Frank to approve the Open Minutes as presented from the October 25, 2022, Auction Advisory Board meeting. A majority of a quorum voted in favor of approving the October 25, 2022, Open Meeting Minutes.</p>

<p><b>Public Comments</b></p> <p><b>Comments from Director Laurie Murphy</b></p>	<p>There were no members of the public present.</p> <p>Laurie Murphy, Director of the Division of Real Estate spoke to the Board. Director Murphy thanked the Board for the dedication and time the Members give to the Board.</p> <p>Director Murphy informed the Board that Brian Swartz has resigned from the Auction Advisory Board, and he has been sent a certificate of appreciation thanking him for his time and dedication to the Board. Director Murphy explained Brian Swartz sent an email to the Director to read to the Board:          “It was an honor to serve on the Board for the last 4½ years and I enjoyed working with the Board Members and the entire staff.”</p> <p>Director Murphy discussed Board terms because we have three members with expiration dates of January 1, 2023. Director Murphy explained that a Board member can now serve after their term expires until a new member is appointed. Director Murphy explained we are making a commitment to ensure we have full capacity for all our Boards and no vacancies. There was more discussion regarding Board member terms.</p> <p>Director Murphy said we have two vacancies on the Board and if the Board members are aware of anyone who would be a good candidate to serve on the Auction Board, they should send those names to Director Murphy or to Susan Sigourney.</p> <p>Jessica Doerr-Berger asked if the membership of the Board is still based on regions of the State. Director Murphy explained we try and create diversity on all our Boards, and we want representation from all over the state.</p>	
<p><b>Licensing and Education Report</b></p>	<p>The 2022 Licensing Report for activity conducted in November was presented and</p>	

	<p>discussed. A copy of the report is attached to and made part of these minutes.</p> <p>Mr. Reed informed the Board the Auction renewal is at about 40% with only two weeks until the expiration date. Mr. Reed explained this renewal is through the online portal for the first time and many auctioneer need to have their user ID or password re-set.</p> <p>Mr. Reed mentioned if any auctioneers have issues logging in to their online portals when renewing their license, please send an email to <a href="mailto:FPR.REALESTATE@illinois.gov">FPR.REALESTATE@illinois.gov</a> and our Division will be able to assist the auctioneer with their renewal. Mr. Reed mentioned paper renewals are also available on the IDFPR website.</p> <p>Jessica Doerr-Berger had been asked by a member of the public about an apprentice auction license. Jeremy explained that we do have this type of an apprentice license.</p>	
<p><b>Investigations Report</b></p>	<p>The 2022 Auction Investigations Report through the month of November was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Jessica Doerr-Berger asked if the complaints received in Investigations, for example complaints against Facebook auctions, are being looked at in Investigations. Hector Rodriguez explained the Department has received several of these complaints and the Investigators are focused on getting those complaints resolved. Mr. Rodriguez said Investigations looks at every possible violation.</p>	
<p><b>Prosecutions Report</b></p>	<p>The 2022 Auction Prosecutions Report through the month of November was</p>	

	presented and distributed. A copy of the report is attached to and made part of these minutes.	
<b>Formal Hearing Schedule</b>	There were no formal hearings scheduled.	
<b>Old Business</b>	There was no Old Business to discuss.	
<b>New Business:</b>	There was no New Business to discuss.	
<b>Motion to go into Closed Session</b>	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank - yes Carol Spracklen - yes	A motion was made by Frank seconded by Spracklen to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:24 a.m. The motion carried by roll call vote.
<b>Closed Session</b>	The Board reviewed the October 25, 2022, Closed Minutes.  The Board deliberated on pending enforcement actions.	
<b>Motion to go into Open Session</b>	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank - yes Carol Spracklen - yes	A motion was made by Frank and seconded by Fine to go into Open Session at 11:28 a.m. The motion carried by roll call vote.
<b>Closed Minutes Remain Closed</b>	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank – yes Carol Spracklen - yes	A motion was made by Fine And seconded by Frank that all minutes of the Closed Sessions of the Appraisal Board remain closed
<b>Approval of</b>	Roll Call Vote taken:	A motion was made by Frank and seconded by

<p><b>October 25, 2022, Closed Minutes</b></p>	<p>Jessica Doerr-Berger – yes Michael Fine - abstain Kelly Frank – yes Carol Spracklen - yes</p>	<p>Spracklen to approve the Auction Advisory Board Closed Minutes from the October 25, 2022, minutes. A majority of a quorum voted in favor of approving the October 25, 2022, Closed Meeting Minutes.</p> <p>A motion was made by Frank and seconded by Fine to ratify the Board's actions taken in Closed Session which includes Jessica Doerr-Berger authorizing the Department to affix their electronic signature on the Consent Order presented in Closed Session.</p>
<p><b>Recommendations</b></p>	<p>There were no cases for the Board to deliberate during Closed Session.</p>	
<p><b>The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director</b></p>		
<p><b>Orders</b></p>	<p>One Consent Order was reviewed and discussed in Closed Session.</p>	<p>The Board signed one Consent Order.</p>
<p><b>Adjournment</b></p>	<p>Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine - yes Kelly Frank – yes Carol Spracklen - yes</p> <p>The next meeting is scheduled for February 28, 2023.</p>	<p>There being no further business to discuss, a motion was made by Frank and seconded by Fine to adjourn at 11:31 a.m. The motion carried by roll call vote.</p>

# Licensing Report

YTD - thru December 13, 2022

Prepared by Jeremy N Reed

**Filtered By**

- Board = AUCTIONEER
- START DATE = 01/01/2022
- END DATE = 12/13/2022

12/13/2022 at 9:21:48 AM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	1	1	0	99	287
441	Licensed Auctioneer	8	51	47	0	235	773
444	Licensed Auction Firm	3	20	18	0	75	191
445	Licensed Auction CE School	0	1	1	0	1	6
446	Licensed Auction CE Course	0	10	10	0	10	51
<b>Totals</b>		11	83	77	0	420	1,308

From: 11/1/2022 To: 11/30/2022

		Pass		Fail		Total
		N	%	N	%	N
IL Auctioneer Examination	First Time	5	71.43	2	28.57	7
	Repeat	0	0.00	1	100.00	1
	<b>Total</b>	5	62.50	3	37.50	8







## AUCTION PROSECUTIONS REPORT 2022

### November

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non-Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	2	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
APRIL	2	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	3	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
JUNE	4	0	1	2	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
JULY	6	1	2	3	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0
AUGUST	3	0	0	0	2	0	1	0	0	3	2	0	0	0	1	0	0	0	0
SEPTEMBER	4	0	1	1	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0
OCTOBER	5	0	1	2	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
NOVEMBER	6	0	1	2	1	2	0	1	0	0	0	0	0	0	0	0	0	0	0
DECEMBER										0									
TOTAL		2	8							5	3	0	0	0	2	0	0	0	0